

# Stone Ridge Advisors, LLC

## Privacy Notice

The privacy of client financial information is a top priority at Stone Ridge Advisors. As our privacy policy details, we do not sell client information and we do not share client non-public information with third parties except as authorized by clients or required by law.

<b>Facts</b>	<b>WHAT DOES Stone Ridge Advisors, LLC (“SRA”) DO WITH YOUR PERSONAL INFORMATION?</b>		
<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.		
<b>What?</b>	<p>The types of personal information we collect, and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>• Social Security number and income</li> <li>• Account balances and payment history</li> <li>• Credit history and credit scores</li> </ul>		
<b>How?</b>	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons we choose to share; and whether you can limit this sharing.		
	<b>Reasons we can share your personal information</b>	<b>Do we share?</b>	
	<b>Can you limit this sharing?</b>		
	<b>For our everyday business purposes</b> - such as to process your transactions, maintain your accounts(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
	<b>For our marketing purposes</b> - to offer our products and services to you	Yes	No
	<b>For joint marketing with other financial companies</b>	No	Not Applicable
	<b>For our affiliates’ everyday business purposes</b> - information about your transactions and experiences	Yes	No

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For our affiliates' everyday business purposes – information about your creditworthiness	No	Not Applicable
For our affiliates to market to you	No	Not Applicable
For non-affiliates to market to you	No	Not Applicable

Who we are	
Who is providing this notice?	Stone Ridge Advisors, LLC (“SRA”)
What we do	
How does SRA protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards, secured files, and buildings.
How does SRA collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> <li>• Open an account</li> </ul>
Why can't I limit all sharing?	Federal law gives you the right to limit only. <ul style="list-style-type: none"> <li>• Sharing for affiliates' everyday business purposes – information about your creditworthiness</li> <li>• Affiliates from using your information to market to you.</li> <li>• Sharing for non-affiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
What happens when I limit sharing for an account I hold jointly?	Not Applicable
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.
Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you.
Questions?	Call (212) 431-4676